

ADMINISTRATIVE SANCTIONS FLOWCHART

TSC/FO/RO/PSC/OHA
employee suspects fraud
or abuse. GN 02604.410

OIG receives a third party
report of possible fraud or
abuse. GN 02604.410B.2

Develop sufficient evidence to establish that
a violation may have occurred. Such
evidence may include a personal contact
with the individual. GN 02604.410

Send SSA 8551-U4 (paper or electronic)
with evidence developed to OIG for
consideration of criminal/civil prosecution
or CMP. If sanctions may apply, flag
case with an administrative sanctions
flag. Send copy of SSA 8551-U4 to the RO
to verify that a sanction is appropriate.
If yes, the RO will enter case on database,
if not the RO will advise OIG and the FO
and provide rationale for decision. The
flag will be removed from case. GN
02604.415 and GN 02604.420

If during the course of OIG
investigation it appears a case is
sanctionable, OIG will prepare a
sanction flag, place it on file and
send a copy to the RO after its
investigation and review. OIG
will develop through criminal and
civil prosecution and CMP.
GN 02604.425

After consideration of criminal or civil prosecution and CMP,
OIG will return case to the FO. (OIG will do this within 60
days or will notify the FO that investigation is continuing.
Within this period there will be a dialogue between OIG and
the RO on the case status). GN 02604.425